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PATENT APPLICATION  
DOCKET NO. 2685/5744

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Allen L. GORIN, et al.

Application No: 09/712,194

Filed: November 15, 2000

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

For: **METHOD AND SYSTEM FOR PREDICTING PROBLEMATIC DIALOG SITUATIONS IN  
A TASK CLASSIFICATION SYSTEM**

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APR 09 2003

Technology Center 2600

THIRD INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The paragraphs marked below are applicable.

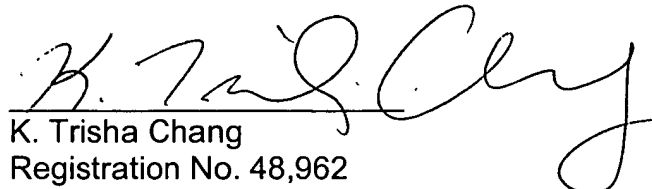
- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed with a Request for Continued Examination (RCE). No certification or fee is required.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☐ c. Please debit Deposit Account No. 11-0600 in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
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Respectfully submitted,

KENYON & KENYON

Date: April 8, 2003

  
K. Trisha Chang  
Registration No. 48,962



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 Of 1

**Complete if Known**

Application Number	09/712,194
Filing Date	November 15, 2000
First Named Inventor	Allen L. GORIN et al.
Group Art Unit	To Be Determined
Examiner Name	To Be Determined
Attorney Docket Number	2685/5744

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,777,600	10-11-98	SAITO et al.	
		US-4,866,778	09-12-89	BAKER	
		US-4,903,305	02-20-90	GILLICK et al.	
		US-5,029,214	07-02-91	HOLLANDER	
		US-5,033,088	07-16-91	SHIPMAN	
		US-5,099,425	03-24-92	KANNO: YUJI et al.	
		US-5,384,892	06-24-95	STRONG	
		US-5,390,272	02-14-95	REPTA, et al.	
		US-5,434,906	07-18-95	ROBINSON et al.	
		US-5,457,768	10-10-95	TSUBOI et al.	
		US-5,666,400	09-09-97	McALLISTER et al.	
		US-5,719,921	02-17-98	VYSOTSKY et al.	
		US-5,794,193	08-11-98	GORIN et al.	
		US-5,839,106	11-17-98	BELLEGARDA	
		US-5,905,774	05-18-99	TATCHELL et al.	
		US-6,021,384	02-01-00	GORIN et al.	
		US-6,023,673	02-08-00	BAKIS, et al.	
		US-6,192,110 B1	02-20-01	ABELLA et al.	

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	

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<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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